



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#17  
H. H. H.  
11-14-02

In re Appln. of: CARROLL

Serial No.: 09/883,703

Filed: June 18, 2001

For: APPARATUS AND SYSTEM FOR IDENTIFYING. . .

Group: 2632

Examiner: TAI T. NGUYEN

DOCKET: SCP 00.01

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Technology Center 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-identified application, Applicant hereby attaches U.S. Patent Office Form PTO-1449, including copies of the prior art references listed therein. These references were cited in the European Search Report dated September 16, 2002 of the corresponding EPO Patent Application. Enclosed also is a copy of the European Search Report. The claims in the present Application are believed to be patentably distinguished over these references.

This Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR §1.56(A). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR §1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 USC §1.56(c) most

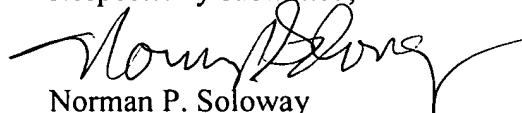
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knowledgeable about the content of the information, the undersigned attorney of record submits a copy of the Search Report by a foreign examiner in which the references were cited. The relevance to the pending U.S. Patent Application is that the references were cited in a foreign Patent Application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign Examiner or the claims of the foreign Application under the laws of the country or the United States relative to the subject matter claimed in the present Application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign Examiner's comments submitted herewith.

The enclosed Information Disclosure Statement is being submitted within three months of the European Search Report, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

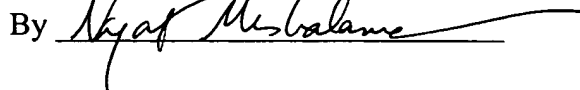
Respectfully submitted,



Norman P. Soloway  
Attorney for Applicant  
Reg. No. 24, 315

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 7, 2002, at Tucson, Arizona.

By 

NPS:nm

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
SCP 00.01

In Re Application Of:  
CARROLL

Serial No.  
09/883,703

Filing Date  
June 18, 2001

Examiner  
TAI T. NGUYEN

Group Art Unit  
2632

Invention: APPARATUS AND SYSTEM FOR IDENTIFYING INFANT-MOTHER MATCH

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Technology Center 2600

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: November 7, 2002

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